

In re Application of: Guggenheim, et al  
Serial Number: 10/065197  
Filed: September 25, 2002  
Examiner: Not yet assigned  
Group Art Unit: 1711  
For: METHODS FOR THE PREPARATION POLY  
(ETHERIMIDE)S

### INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks  
PO Box 1450  
Alexandria, VA 22313-1450

SIR:

- ☐ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☒ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

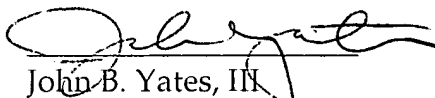
#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Alexandria, VA 22313-1450, on this 18th day of February, 2004.

Mona J. Pizzuto

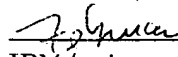
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.07-0862**. An original and two (2) copies of this document are enclosed.

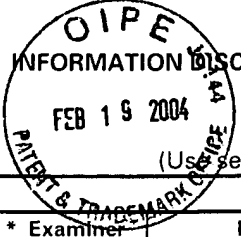
Respectfully submitted,



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<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  <p><b>PTO-1449</b></p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><b>LIST OF ITEMS</b></p> <p>(Use several sheets if necessary)</p> </div> <div style="text-align: right;"> <p><b>Attorney's Docket Number</b> 08CU5767-1</p> <p><b>Name of Applicant</b> Guggenheim, et al</p> <p><b>Filing Date</b> September 25, 2002</p> </div> <div style="text-align: right;"> <p><b>Serial Number</b> 10/065,197</p> <p><b>Group</b> 1711</p> </div> </div>													
<b>U.S. PATENT DOCUMENTS</b>													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3	8	4	7	8	6	9	11/12/1974	Williams			
	AB	6	2	3	5	8	6	6	05/22/2001	Carrington			
	AC	3	8	0	3	0	8	5	04/09/1974	Kochanowski			
	AD	6	5	2	8	6	6	3	03/04/2003	Odle			
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												
	AL												
<b>FOREIGN PATENT DOCUMENTS</b>													
		Document Number							Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AM	EP 1 059 291 A							12/13/2002	World			
	AN												
	AO												
<b>OTHER INFORMATION (Including Author, Title, Date, Pertinent)</b>													
	AP												
	AQ												
	AR												
<b>EXAMINER</b>										<b>DATE CONSIDERED</b>			
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.</p>													